

US Capital Global partners with Equity Trust to help independent RIAs expand their alternative investment offerings-while RIAs retain full control of their client relationships

Independent RIAs can now provide their clients with institutional-quality alternative investments without sacrificing their client relationships, advisory fees, or existing business models. US Capital Global provides independent RIAs with access to carefully curated investment opportunities, supported by the purpose-built custody infrastructure and operational expertise of Equity Trust.



By **Jeffrey Sweeney**, Founder and Chairman, **US Capital Global**

Alternative investments are no longer a niche allocation. Increasingly, clients expect their advisors to provide access to institutional-quality private market opportunities alongside traditional investments. While investor demand has grown, the custody infrastructure available to many registered investment advisors has not kept pace.

At US Capital Global, we believe advisors deserve a simpler way to incorporate alternative investments into client portfolios—one that preserves the relationships they have built, the revenue they earn, and the way they already serve their clients.

Meeting Growing Client Demand

Alternative investments have become an increasingly important component of diversified portfolios. Many investors now seek meaningful allocations to private credit, structured finance, and other carefully selected private market opportunities alongside their traditional holdings. For many advisors, accessing these investments remains unnecessarily complex. Large, traditional custodial platforms were designed primarily for liquid securities and often provide only limited support for alternative assets.

Purpose-Built Infrastructure for Alternatives

We've built our platform specifically to address this challenge. Through our partnership with Equity Trust—one of the nation's largest custodians dedicated to alternative assets, approaching \$100 billion in assets under custody—we provide independent RIAs with an institutional-quality custody solution designed specifically for alternatives. Advisors' existing relationships with "big-box" custodians remain intact, and independent RIAs can remain the primary relationship managers while benefiting from Equity Trust's established alternative-asset custody infrastructure, which simplifies administration, reporting, and cash management.

You Keep the Client Relationship

Most importantly, nothing changes where it matters most: your client remains your client. You continue to manage the



relationship, provide investment advice, and receive your advisory fees, while custody, reporting, cash management, and administrative support are handled through a platform purpose-built for alternative investments. Equity Trust provides a simple, fast onboarding process for you and your clients.

Curated Investment Opportunities

Our FINRA-member broker-dealer, US Capital Global Securities, LLC, carefully reviews each security we offer. We bring forward these opportunities because we believe they offer compelling value within the alternatives marketplace. We have a particular focus on private credit and income-oriented investments, where today's market environment continues to present attractive opportunities for advisors seeking differentiated sources of yield and portfolio diversification. From time to time, we will also introduce carefully selected private equity opportunities that meet our underwriting standards.

Our Vision for Independent RIAs

We believe the future of independent wealth management will increasingly include a blend of thoughtfully selected alternative investments. Our goal is to provide independent RIAs with the custody infrastructure, investment opportunities, and operational support they need to meet that growing demand—while ensuring they remain firmly in control of the client relationships they have worked so hard to build.

We look forward to sharing opportunities that we believe can help you broaden the solutions you offer your clients while strengthening the long-term value of your advisory practice.

Jeffrey Sweeney is Founder and Chairman of US Capital Global and a veteran investment professional with decades of experience in corporate finance, asset management, and private capital markets. US Capital Global (www.uscapital.com) is a full-service global private financial group headquartered in San Francisco with primary offices in Austin, New York, Miami, London, Dubai, and Singapore.